



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Thomas GESSNER, et al.

SERIAL NO: 10/594,132

GAU:

FILED: September 25, 2006

EXAMINER:

FOR: TRIAZOLE DERIVATIVES AND USE THEREOF IN ORGANIC LIGHT-EMITTING DIODES (OLEDs)

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR § 1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR § 1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Norman F. Oblon

Registration No. 24,618

Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)

Surinder Sachar

Registration No. 34,423



Form PTG 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 296646US0PCT		SERIAL NO. 10/594,132	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Thomas GESSNER, et al.			
				FILING DATE September 25, 2006		GROUP	
				U.S. PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	4 157 443	06/05/1979	IAN J. FLETCHER			
	AB	4 739 053	04/19/1988	BERNHARD ALBERT, et al.			
	AC	3 817 990	06/18/1974	ALBERT F. STROBEL, et al.			
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AK	27 49 902	05/18/1978	DE (equivalent of GB 1 556 083, US 4 236 003, US 4 331 805 & US 4 157 443)			NO
	AL	1 026 222	08/09/2000	EP (equivalent of US 6 391 482)			NO
	AM	3 304 330	08/09/1984	DE (equivalent of US 4 739 053)			NO
	AN	0 710 655	05/08/1996	EP			NO
	AO	0 875 947	11/04/1998	EP			NO
	AP	0 704 436	04/03/1996	EP			NO
	AQ	30 01 424	07/31/1980	DE (equivalent of US 4 302 586)			NO
	AR	25 54 027	06/10/1976	DE (equivalent of US 4 146 500)			NO
	AS	03 105538	12/18/2003	WO			NO
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW	NARITA SHIGERU, et al., "APPLICATION OF FLUORESCENT TRIAZOLES TO ANALYTICAL CHEMISTRY. I. DETERMINATION OF AROMATIC PRIMARY AMINE WITH 2, 4, 6- TRIAMINOPYRIMIDINE AS A REAGENT", CHEMICAL AND PHARMACEUTICAL BULLETIN, Vol. 33, No. 11, XP 008049633, pages 4928-4934, 1985.					
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner /Jeffrey Murray/					Date Considered 02/13/2009		

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

U.S. PCT Application Serial No: 10/594,132
Filed: September 25, 2006
Thomas GESSNER, et al.
Docket No. 296646 US

STATEMENT OF RELEVANCY

- 1) References AA-AC, AK-AM & AW have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References have been cited in the corresponding Search Report. A copy of these references is being submitted herewith.
- 3) References AN-AS are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References are additional prior art known to Applicant. A copy of these references is being submitted herewith.